

HIGH NET WORTH INDIVIDUAL INVESTOR CONFIRMATION FORM
LAGOS STATE GOVERNMENT – ISSUANCE OF UP TO ₦100 BILLION SERIES I BONDS UNDER THE ₦1 TRILLION DEBT AND HYBRID INSTRUMENTS ISSUANCE PROGRAMME

Notes

Only High Net worth Individuals (HNIs) or Eligible Individual Investors (EIIs) and Qualified Institutional Investors (QIIs) may participate in sophisticated high-risk investment activities. Your application for the Lagos State Government – Issuance of up to ₦100 Billion Series I Bonds under the ₦1 Trillion Debt and Hybrid Instruments Issuance Programme will not be accepted if the requisite eligibility criteria are not met.

Please provide the information requested below in full:

Investor 1
Name:
Address:
Telephone Number:

Investor 2
Name:
Address:
Telephone Number:

1	Do you individually, or jointly with your spouse, own a financial portfolio (including cash deposits and financial instruments) exceeding ₦100,000,000.00 (One Hundred Million Naira) in value, and has your gross income exceeded ₦30,000,000.00 (Thirty Million Naira) in each of the last 2 full years or your joint income with a spouse exceeded ₦50,000,000.00 (Fifty Million Naira) in each of the last 2 full years?	YES	NO
2	Do you currently work/have you previously worked in the Financial sector for at least 1 year in a professional position that requires/required knowledge of sophisticated high-risk investment activities?	YES	NO
3	Have you carried out previous transactions in sophisticated high-risk investment activities?	YES	NO

DECLARATION

I / We (Name(s) of investor(s))....., hereby declare as follows that:

1. I/We have the requisite knowledge, skill, expertise, and experience in business, financial, and investment matters and I am/ we are capable of evaluating the merits and risks of an investment in the Lagos State Government – Issuance of up to ₦100 Billion Series I Bonds
2. I / We make this declaration believing the same to be true.

SIGNED BY:

Investor 1 Signature:

Investor 1 Signature:

Name:

Name:

Date:

Date:

FOR INTERNAL USE ONLY			
Receiving Agent			
Relationship Manager sign off	Name:	Signature:	Date:
Operations sign off	Name:	Signature:	Date:
Compliance Approval	Name:	Signature	Date
Approval comments:			

This notice cannot disclose all the risks associated with sophisticated high-risk investment activities and as such you should not invest in or deal in any sophisticated high-risk investment activities unless you understand their nature and the extent of your exposure to risk. You should also be satisfied that the sophisticated high-risk investment activities are suitable for you in the light of your circumstances and financial position. You should be aware that sophisticated high-risk investment activities carry the risk of partial or full loss of invested capital and/or interest.